

Deceased Estates Trade Form



Use this form if you are an existing Heritage Online Share Trading customer to place a one off trade for a deceased estate.

- Executor 1 will be the primary contact. For identification purpose the personal and contact details provided on this form MUST match your existing identification details provided.
- Phone brokerage rate applies to deceased estate trades. Please refer to the Financial Service guide available on the website to view phone brokerage rates.

Deceased Trade Form Instructions

HOW IT WORKS:

1. Read and accept the Share Trading Terms and Conditions available to download from the Heritage Online Share Trading website.
2. Email or mail the completed form and any supporting documentation to the details above.

The following documentation will be required:

If the value of the shares that belong to the estate is less than \$25,000:

- Certified copy of the Death Certificate
- Certified copy of the Last Will and Testament
- Small Estates Indemnity form
- Executor Administrator Authority form.

If the value of the shares that belong to the estate is above \$25,000:

- Certified copy of Probate
- Executor Administrator Authority form.

HOW WE PAY YOU

Payment can only be made by cheque and payable in the name of the estate.

HOW TO CORRECTLY CERTIFY DOCUMENTS

Each certified photocopy(s) must include the statement (or similar wording):

'I certify that this is a true copy of the original document'.

The certifier must include their full name, original signature, qualification or occupation which makes that eligible, date and contact details (phone number).

BEST EXECUTION POLICY DISCLOSURE

Before you submit your Deceased Trade form request, please take due time to view the Best Execution Client Disclosure document on the Heritage Online Share Trading website.

GENERAL ADVICE WARNING

This form has been prepared without taking account of the objectives, financial situation or needs of any particular individual. For this reason, any individual should, before acting on the information in this form, consider the appropriateness of the information, having regard to the individual's objectives, financial situation and needs and, if necessary seek professional advice.

PRIVACY STATEMENT

AUSIEX is collecting your personal information in connection with your role as an executor. For details of AUSIEX's privacy and information handling practices, including how you may access your information, seek correction of that information and how you may complain, please refer to our Privacy Policy which is available on our website.

